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Pension Trustee Application

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Completion

This form should be completed by you if you wish for your investment to be executed by the Ardan International Wealth Platform. The Ardan International Wealth Platform offers custody and online facilities enabling you to view, manage and switch investments, whether directly or by your financial adviser or any other authorised person acting on your behalf.

The Client Portfolio Account is subject to the Ardan International Terms & Conditions (“Ardan International T&Cs”) and to the Ardan International Pricing Schedule. By signing this application you confirm having received the current version of the Ardan International T&Cs and Ardan International Pricing Schedule and agree with all the provisions included therein as well as those contained in this application. These provisions also apply to all Client Portfolio Accounts which are opened by you now or at a later date.

Should any of the provisions in this application or in the Ardan International T&Cs be found to be void or invalid in part or in full, the remainder of the provisions herein and in the Ardan International T&Cs shall remain valid notwithstanding.

Specified US Person means a US citizen or tax resident individual who has a US residential/correspondence address or who either holds a US Passport, a US Green Card or who was born in the US and has not yet renounced their US citizenship. More information on US FATCA can be found at: www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA

If you choose Yes to being a Specified US Person, you will need to provide us with your US Taxpayer Identification Number (TIN) or US Social Security Number (SSN).

If you choose No but you have a US residential/correspondence address, hold a US Passport, a US Green Card or you were born in the US, you will need to provide us with documentary evidence that you are in the process of or have renounced your US Citizenship. Ardan International can accept a certified copy of your DS-4083 form (also known as CLN – Certificate of Loss of Nationality) and/or a certified copy of your passport in which you are obtaining new citizenship.

Please note that all investment services provided by the Ardan International Wealth Platform are strictly on an execution only basis. Ardan International is not obliged to and does not verify the appropriateness and/or suitability of your investment/s or other services provided. All applicants are reviewed on a risk-based approach. This in turn will drive the amount of due diligence that the applicant will be expected to provide in order to complete the application process. Ardan International may request additional information during the life of the Client Portfolio Account in order for it to comply with the legislative requirements of the Isle of Man.

Once you have completed and signed the application you should send it along with all the requested additional information (please ensure you complete the document checklist under Section 7) to newbusiness@ardan-international.com or alternatively post it to: Ardan International, Royal Court, Castletown, Isle of Man, IM9 1RA, British Isles.

Please complete the application clearly and in full using BLOCK CAPITALS to avoid any delays with the processing of your application.

Section 1 Trustee details

Trustee name

Address for correspondence

Address line 1

Address line 2

Address line 3

Town/city

Country

Postcode

Section 2 Trust details

Trust name

Date of est.

Official pension ID

Trust type

Country of est.

Section 3 Member details

Title

Other

First name

Last name(s)
(if applicable)

Date of birth

Nationality

Current residential address

Address line 1

Address line 2

Address line 3

Town/city

Country

Postcode

Email address

Mobile telephone number

Country code

Telephone number

Gender

Other

Middle name(s)

Previous name

Country of birth

Dual nationality

Section 3 Member details continued

EMPLOYMENT DETAILS (RELATING TO PENSION)

Name of employer

Address of employer

Address line 1

Address line 2

Address line 3

Town/city

Country

Postcode

Nature of employer's
business

Occupation

Position

Length of service years months

Annual gross salary Currency Amount

VERIFICATION OF IDENTITY

Please send a suitably certified copy* of your passport or National Identity Card showing your photograph(s) and signatures – If you are unable to provide either of these please provide a reason why and contact us to discuss other acceptable documents before sending in your application.

I have provided identification

Identity document type

Identity document number

Identity document expiry date

If you are unable to provide ID please confirm why below:

* Suitably Certified Copy Documentation

The most common suitable certifiers are:

- / A suitable certifier approved by Ardan
- / An authorised representative of an embassy or consulate of the country who issued the identification document
- / Notary public
- / Lawyer, solicitor, advocate or attorney
- / Commissioner of Oaths within a recognised jurisdiction
- / Accountant who is a member of an institute or other professional organisation
- / A director or secretary of an authorised credit or financial institution located and regulated in an equivalent jurisdiction to the Isle of Man
- / A bank official
- / A registrar or other civil or public servant authorised to issue or certify copy documents
- / An employee of IFGL
- / A Company Secretary who is a member of recognised professional body

If you cannot have your documents certified by one of the above, please contact us.

Section 4 Investment portfolio details

Please ensure you complete a separate Pricing Schedule and attach it to this application.

Portfolio name

PORTFOLIO ACCOUNT BASE CURRENCY

Please select one currency which your account charges will be deducted in (you can open multiple accounts but your account charges will be deducted in the currency you select below). You should maintain a cash balance of 1.5% to cover ongoing charges.

What is your initial investment (this is the amount we expect to receive)?

Currency Amount Source of wealth

Currency Amount Source of wealth

Are you share transferring assets to your Ardan Wealth Platform?

If yes, what is the estimated value? Currency Amount (please provide a current valuation statement along with this application)

DETAILS OF ANTICIPATED FUTURE INVESTMENTS

How frequently do you expect to make future deposits into this account?

Currency Amount Source of wealth

Currency Amount Source of wealth

We may require additional documentary evidence of information regarding source of funds and wealth in order to meet the requirements of our regulator and will contact you in the event that this information is required.

Section 5 Source of funds

In order for us to comply with our obligations under the Isle of Man's Anti-Money Laundering Regulations, you must answer the following questions fully.

SOURCE OF FUNDS

Please provide details of the bank account being used to fund your portfolio account.

Bank name

Address line 1

Address line 2

Address line 3

Town/city

Country

Postcode

Account holder's name

Account currency

Branch Swift Code | | | | | | | | | |

(for all non-GBP and International payments)

Swift Code must be either 8 or 11 digits

OR

Bank Sort Code | - | - |

(for UK GBP payments only)

IBAN (all non-GBP accounts)

This number must match the account the transfer is being made from.

OR

Account number | | | | | | | |

(GBP UK Bank only) This number must match the account the transfer is being made from.

If you are funding your Client Portfolio Account from more than one bank account, please provide your additional bank details under Section 8 - Your additional notes. Also if your future deposits will be sent from a different account to your initial investment, please provide the bank details and state how long this account has been held, also under Section 8.

Section 6 Trustees and financial adviser declaration

I/We confirm that I/we have the necessary powers to take out this Client Portfolio Account and enter into a relationship with Ardan International.

POLITICAL EXPOSED PERSONS

A Politically Exposed Person ("PEP") is a person entrusted with prominent public functions, their immediate family members or persons known to be close associates of such persons.

Examples of PEPs include political figures, members of the judiciary, diplomatic service officers, managers and supervisors of state owned enterprises and senior ranking military officers.

Please add the names of any PEPs associated with this application in the box below.

Where this is left blank, you are confirming that no person associated with this application is politically exposed.

My/our signature(s) below confirm(s) that:

I/We declare that the information supplied in this application is complete and correct to the best of my/our knowledge and belief at the time of this declaration.

I/We agree to provide Ardan International with any further information as may be required.

I/We agree to notify Ardan International of any material changes in the information provided in this application including but not limited to, changes in personal details, which may occur after the date of this application.

I/We agree/disagree to Ardan International using my/our personal information for marketing purposes. If you do not make any selection we will deem you to have given permission for your information to be used as described. You may change your mind at any time about receiving marketing messages by contacting us using the details shown here - www.ardan-international.com/contact-us

I/We confirm that I/we have read and understood the Ardan International T&Cs.

	Trustee signature	Second Trustee signature (if applicable)
Signed	<input type="text"/>	<input type="text"/>
Date	<input type="text"/>	<input type="text"/>

Acknowledged by Scheme member	
Signed	<input type="text"/>
Full name	
Date	<input type="text"/>

THIS SECTION IS TO BE COMPLETED BY YOUR FINANCIAL ADVISER.

I, as the financial adviser, confirm that I have provided a detailed explanation of the financial adviser fees to be deducted. I have given the client the current Ardan International T&Cs and Ardan International Pricing Schedule governing the Client Portfolio Account.

Financial adviser name

Financial adviser company

Signed	<input type="text"/>
Date	<input type="text"/>

Section 7 Document checklist

Before sending the application to Ardan International Limited, Isle of Man office, please carefully check that you have completed ALL the necessary paperwork for us to process and open your Ardan International Wealth Platform Client Portfolio Account without any inconvenience to you.

All the questions have been answered

The application has been signed on page 7

Original specimen signature form completed, where the applicant(s) signature has changed to the passport

Separate pricing schedule signed by the applicant

Anti-money laundering documents, are legible, valid and suitably certified

For a share transfer, a current valuation of the asset(s) has been provided

If funds are being transferred from a Life Company, a copy of the final/closing surrender statement

Your financial adviser has online access to the International Wealth Platform

Certified ID and address verification for the member

Section 8 Your additional notes